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Atty Docket No. 020995-000110US

PTO FAX NO.: 703-746-7239

ATTENTION: Examiner
TELEPHONE NO.:

Group Art Unit 2152

**OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
Box Missing Parts
CERTIFICATION OF FACSIMILE TRANSMISSION**

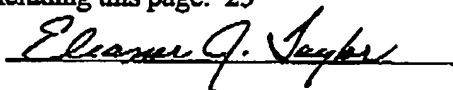
I hereby certify that the following documents in re Application of Charles J. Kring et al., Application No. 10/057,827, filed January 25, 2002 for A METHOD AND SYSTEM FOR POLICY BASED MANAGEMENT OF MESSAGES FOR MOBILE DATA NETWORKS is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal Form (1pg);
2. Fee Transmittal Form (1pg);
3. Petition for Extension of Time (4mos)(1pg);
4. Petition Under 37 CFR § 1.47(a) (3 pgs);
5. Appendices A, B & C - Executed Declaration (8 pgs);
6. Appendix D - Declaration of Facts Relating to Nonsigning Inventor (4 pgs);
7. Appendix E - Supplemental Application Data Sheet (5 pgs);
8. Copy of Notice to File Missing Parts of Application (1pg).

Number of pages being transmitted, including this page: 25

Dated: August 20, 2002



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PA 3244679 v1

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

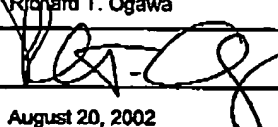
Approved for use through 10/31/2002. OMB 0651-0031

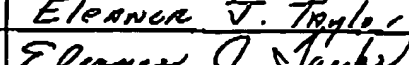
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/057,827
	Filing Date	January 25, 2002
	First Named Inventor	Kring, Charles J.
	Group Art Unit	2152
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	020995-000110US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Declaration; Statement of Facts Petition Suppl. Application Data Sheet Copy of Notice to File Missing Parts Facsimile Transmittal Form
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Richard T. Ogawa Reg. No. 37,692
Signature	
Date	August 20, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 703-746-7239 on August 20, 2002	
Typed or printed name	Eleanor J. Taylor
Signature	
Date	August 20, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3244856 v1

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1294

Complete if Known

Application Number 10/057,827
Filing Date January 25, 2002
First Named Inventor Kring, Charles J.
Examiner Name Unassigned
Group Art Unit 2152
Attorney Docket No. 020995-000110US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:
Deposit Account Number 20-1430
Deposit Account Name Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE		Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee (\$)	Fee Paid	Fee (\$)
101	740	201	370			Utility filing fee	370		370
106	330	206	165			Design filing fee			
107	510	207	255			Plant filing fee			
108	740	208	370			Reissue filing fee			
114	160	214	80			Provisional filing fee			
SUBTOTAL (1)									(\$370)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee (\$)	Fee Paid	Fee (\$)
103	18	203	9			Claims in excess of 20	9		
102	84	202	42			Independent claims in excess of 3	42		
104	280	204	140			Multiple dependent claim, if not paid	140		
109	84	209	42			** Reissue independent claims over original patent	42		
110	18	210	9			** Reissue claims in excess of 20 and over original patent	9		
SUBTOTAL (2)									(\$9)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES		Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee (\$)	Fee Paid	Fee (\$)
105	130	205	65			Surcharge - late filing fee or oath	65		65
127	50	227	25			Surcharge - late provisional filing fee or cover sheet			
139	130	139	130			Non-English specification			
147	2,520	147	2,520			For filing a request for reexamination			
112	920*	112	920*			Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action			
116	110	216	55			Extension for reply within first month			
116	400	216	200			Extension for reply within second month			
117	820	217	480			Extension for reply within third month			
118	1,440	218	720			Extension for reply within fourth month			720
128	1,860	228	930			Extension for reply within fifth month			
119	320	219	160			Notice of Appeal			
120	320	220	160			Filing a brief in support of an appeal			
121	280	221	140			Request for oral hearing			
138	1,510	138	1,510			Petition to institute a public use proceeding			
140	110	240	55			Petition to revive - unavoidable			
141	1,280	241	640			Petition to revive - unintentional			
142	1,280	242	640			Utility issue fee (or reissue)			
143	480	243	230			Design issue fee			
144	820	244	310			Plant issue fee			
122	130	122	130			Petitions to the Commissioner			
123	50	123	50			Petitions related to provisional applications			
126	180	126	180			Submission of Information Disclosure Sheet			
581	40	581	40			Recording each patent assignment per property (times number of properties)			
146	740	246	370			Filing a submission after final rejection (37 CFR § 1.126(a))			
148	740	248	370			For each additional invention to be examined (37 CFR § 1.126(b))			
179	740	279	370			Request for Continued Examination (RCE)			
168	900	168	900			Request for expedited examination of a design application			
Other fee (specify) _____ Petition Fee									130
*Reduced by Basic Filing Fee Paid									
SUBTOTAL (3)									(\$915)

SUBMITTED BY

Name (Print/Type) Richard Y. Osawa Registration No. (Attorney/Agent) 37,892 Telephone 650-328-2400

Signature [Signature] Date August 20, 2002

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,827	01/25/2002	Charles J. Kring	020995-000110US

CONFIRMATION NO. 5468

 20350
 TOWNSEND AND TOWNSEND AND CREW, LLP
 TWO EMBARCADERO CENTER
 EIGHTH FLOOR
 SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



0000000007498380

Date Mailed: 02/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

11/01/2002 NTEKLEMI 00000013 201430 10057827

01 FC:2202 9.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$9.
 - \$9 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 444.

11/01/2002 NTEKLEMI 00000006 201430 10057827

 01 FC:2001 370.00 CH
 02 FC:2051 65.00 CH
A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE